



06-25-01

A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

June 22, 2001

Re: Application for Patent of
Inventor(s) : Robert C. Newman Jr.,
Stuart DeJonge, Mark
Taipale, Dominick
Travaglini, Joel S. Spira
Title : ELECTRONIC BALLAST
Assignee : Lutron Electronics Co., Inc.
Our Docket : P99-16

"Express Mail" mailing label number: EK156592899US



Date of Deposit: June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rita M. Shovlin

(Typed or printed name of person mailing paper or fee.)

Rita M. Shovlin
(Signature of person mailing paper or fee.)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are the following papers:

- ☒ Specification, abstract, and claims, 35 pages total.
- ☒ Drawings 26 sheets.
- ☒ Declaration and Power of Attorney.

A claim for priority under 35 USC 119 is hereby made with respect to _____ application no. _____ filed _____. A certified copy of that application _____ is enclosed/ _____ will be filed later.

The Commissioner is authorized to charge to Deposit Account No. 122421 the fee in the amount of \$ 710.00, which is calculated according to the enclosed Patent

- ☒ Application Fee Determination Record PTO/SB/06.

The Commissioner is authorized to charge any additional fees under 37 CFR 1.16 and 1.17 that may be required by this paper, or to credit any overpayment, to

- ☒ Deposit Account No. 122421. Two copies of this request are enclosed.

Respectfully Submitted,
Lutron Electronics Company, Inc.

By Mark E. Rose
Mark E. Rose
Reg. No. 42,113

09887848, 062201

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	June 22, 2001
First Named Inventor	Robert C. Newman, Jr.
Examiner Name	
Group Art Unit	
Attorney Docket No.	P99-16

TOTAL AMOUNT OF PAYMENT (\$ 1,638.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 122421
- Deposit Account Name: Lutron Electronics Co. Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	355	106	320	Utility filing fee	710
107	207	490	245	108	710	Design filing fee	
108	208	710	355	114	150	Plant filing fee	
114	214	150	75			Reissue filing fee	
						Provisional filing fee	
SUBTOTAL (1) (\$)							710

2. EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	9	102	80	Claims in excess of 20	
104	204	270	135	109	80	Independent claims in excess of 3	
109	209	80	40	110	18	Multiple dependent claim, if not paid	
110	210	18	9			** Reissue independent claims over original patent	
						** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)							928

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	127	50	Surcharge - late filing fee or oath	
139	139	130	130	147	2,520	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	112	920*	Non-English specification	
112	920*	112	920*	113	1,840*	For filing a request for ex parte reexamination	
113	1,840*	113	1,840*	115	110	Requesting publication of SIR prior to Examiner action	
115	110	215	55	116	390	Requesting publication of SIR after Examiner action	
116	390	216	195	117	890	Extension for reply within first month	
117	890	217	445	118	1,390	Extension for reply within second month	
118	1,390	218	695	128	1,890	Extension for reply within third month	
128	1,890	228	945	119	310	Extension for reply within fourth month	
119	310	219	155	120	310	Extension for reply within fifth month	
120	310	220	155	121	270	Notice of Appeal	
121	270	221	135	138	1,510	Filing a brief in support of an appeal	
138	1,510	138	1,510	140	110	Request for oral hearing	
140	110	240	55	141	1,240	Petition to institute a public use proceeding	
141	1,240	241	620	142	1,240	Petition to revive - unavoidable	
142	1,240	242	620	143	440	Petition to revive - unintentional	
143	440	243	220	144	600	Utility issue fee (or reissue)	
144	600	244	300	122	130	Design issue fee	
122	130	122	130	123	50	Plant issue fee	
123	50	123	50	126	180	Petitions to the Commissioner	
126	180	126	180	581	40	Processing fee under 37 CFR 1.17(q)	
581	40	581	40	146	710	Submission of Information Disclosure Stmt	
146	710	246	355	149	710	Recording each patent assignment per property (times number of properties)	
149	710	249	355	179	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
179	710	279	355	169	900	For each additional invention to be examined (37 CFR § 1.129(b))	
169	900	169	900			Request for Continued Examination (RCE)	
						Request for expedited examination of a design application	
Other fee (specify)							
SUBTOTAL (3) (\$)							

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Mark E. Rose	Registration No. (Attorney/Agent)	42,113	Telephone	610-282-6547
Signature	Mark E. Rose	Date			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.